



Investment Banking

Protect Sensitive Data, Simplify Infrastructure,
and Enable Secure Collaboration



Investment Banking Workflows Are Outgrowing Legacy Infrastructure

Your investment banking operation runs on tight collaboration between analysts, advisors, and deal teams working across offices and time zones. These teams require fast access to financial models, transaction documentation, and research data to support complex, time-sensitive deals.

As firms expand globally, deal data is growing rapidly, too. The challenge is ensuring it remains accessible, secure, and governed. At the same time, there is rising pressure to strengthen cybersecurity, meet regulatory expectations, and prepare data environments for analytics and AI.

Institutions that continue to rely on legacy infrastructure will struggle to support these workflows, slowing deal execution and increasing operational risk.

Fragmented Data Slows Deal Execution and Increases Risk

As your deal teams collaborate across offices and regions, fragmented storage environments create operational friction for both business teams and IT.

Disconnected Data Silos. Financial models, research, and transaction documentation often reside across multiple systems, making it difficult to access consistent information during active deals.

Slow Collaboration Across Offices. Deal teams working on the same transaction require reliable access to shared files and analysis. Performance bottlenecks delay modeling, review cycles, and approvals.

Rising Cybersecurity Threats. Sensitive financial information tied to transactions and market activity is a prime target for ransomware and cyberattacks.

Regulatory and Governance Pressure. Regulations such as GLBA, FINRA Rule 4511, and BSA require firms to retain and protect financial records for extended periods, increasing the need for enforced retention policies, audit visibility, and immutable storage approaches such as WORM.

Operational Complexity for IT Teams. Maintaining separate storage systems across global offices increases administrative overhead and slows modernization efforts.

Limited Scalability. Legacy platforms often require costly refresh cycles and over-provisioning to keep pace with growing data volumes.

Challenging Data Identification. Institutions need powerful automations to help identify sensitive file data across data sets for classification, governance, and protection, but ad hoc solution costs can be exorbitant.

Lack of AI Control. AI can drastically improve employee and workflow efficiency, but public cloud solutions expose sensitive data to the internet.

Teams Require a Unified Data Environment

Leading financial institutions are modernizing how they manage unstructured financial data to better support global deal teams.

The CTERA Intelligent Data Platform enables firms to unify distributed file and object data across offices, data centers, and cloud environments while maintaining centralized governance and security controls.

This unified approach simplifies infrastructure, improves visibility, and enforces consistent data policies across global operations. It also makes unstructured financial data easier to discover and analyze, helping firms support analytics initiatives and prepare for emerging AI capabilities.

Enabling Secure, High-Performance Access to Data

Investment banking teams depend on reliable access to shared financial information to support time-sensitive transactions and advisory engagements. CTERA enables organizations to support these workflows with:

- ✓ Fast access to financial models, research data, and transaction documentation
- ✓ End-to-end encryption with AI-driven ransomware protection and zero-trust data access
- ✓ Immutable data protection with WORM and snapshot-based recovery aligned with FINRA and GLBA retention requirements
- ✓ Automated governance policies supporting compliance and audit readiness
- ✓ A globally distributed file system with centralized control and consistent policy enforcement across environments
- ✓ Flexible deployment across on-premises, private cloud, and public cloud environments to meet data residency and regulatory requirements
- ✓ Centralized visibility, real-time health monitoring, and data integrity across global data environments



FASTER, MORE CONFIDENT DEAL EXECUTION

With a unified data foundation, deal execution becomes more predictable and less dependent on manual coordination across teams and systems. Delays caused by version control, fragmented workflows, and infrastructure constraints are reduced.

IT gains greater control with less operational overhead, enabling teams to support growth and new initiatives without increasing complexity.

The result is faster execution, lower risk, and a more agile investment banking operation.

Ready to modernize your data infrastructure?

See how CTERA helps financial institutions strengthen resilience, simplify operations, and securely manage distributed data.

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